



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20543

RQ-3

February 20, 2003

Andrew Grossman, Treasurer  
New Jersey Senate 2002  
430 South Capitol Street, S.E.  
Washington, DC 20003

Identification Number: C00374017

Reference: Amended July Quarterly Report (4/1/02-6/30/02), received 7/26/02 and  
October Quarterly Report (7/1/02-9/30/02)

Dear Mr. Grossman:

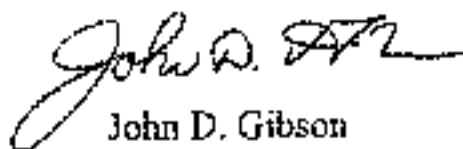
This letter is to inform you that as of February 19, 2003 the Commission has not received your response to our requests for additional information dated January 29, 2003. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

Due to recent events, the United States Postal Service is experiencing delays in the processing and delivery of mail for the Washington D.C. area. Consequently, the Federal Election Commission is not in receipt of all incoming mail. The Commission recommends that you resubmit your response to this notice and consider using alternate delivery services such as overnight delivery or delivery by courier. If feasible, your response can also be hand-delivered. You can verify the Commission's receipt of any documents submitted by your committee on the FEC website at [www.FEC.gov](http://www.FEC.gov).

An adequate response must be received at the Commission by March 12, 2003. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter, please contact Jim Krebs on our toll-free number (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,



John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

Enclosures

17742-360-300-0000



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20543

RQ-2

Andrew Grossman, Treasurer  
New Jersey Senate 2002  
430 South Capitol Street, SE  
Washington, DC 20003

JAN 29 2003

Identification Number: C00374017

Reference: October Quarterly (7/1/02-9/30/02)

Dear Mr. Grossman:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule H3 of your report discloses a transfer(s)-in from a non-federal account(s) for the fundraising event "NJF" which appears to exceed the permissible amount(s) indicated by your allocation ratio for this event. Please be advised that transfers for shared activity must not exceed the non-federal share of the joint disbursements and that these transfers must be made within a 70-day time period: no more than 10 days before or 60 days after payment to the vendor. 11 CFR §§106.5(g)(2) and 106.6(e)(2). Please clarify the nature of this transfer(s)-in from the non-federal account.

The Commission recommends that you immediately transfer the total excessive amount received by your federal account back to your non-federal account. Although the Commission may take further legal action concerning this prohibited activity, your prompt action will be taken into consideration.

-Schedule B supporting Line 29 (pertinent portion(s) attached) discloses \$20,000 in disbursements to "New Jersey Senate 2002-Non-federal" for "Transfer-George Hall". You are advised that 11 CFR §102.5 prohibits a non-federal account from financing activity in connection with federal elections. Please provide clarifying information regarding these transactions including the date(s) when the original activity was conducted by the non-federal account. In addition, if any of the disbursements

## NEW JERSEY SENATE 2002

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disclosed were made to influence the election or defeat of specific federal candidates, the disbursements should be allocated accordingly and disclosed as either in-kind contributions on Schedule B supporting Line 23 or as independent expenditures on Schedule E supporting Line 24. 11 CFR §§104.3(b)(3) and 106.)

Although the Commission may initiate legal action regarding the activities conducted by your non-federal account, any clarifying information that you can provide will be taken into consideration.

-On Schedule H1 of your report, you have used an incorrect method of allocation of shared administrative expenses and voter drive costs. Non-connected committees are required to allocate these expenditures according to the funds expended ratio. 11 CFR §106.6(c) Please amend your report to provide the proper allocation ratio.

-Schedule H2 indicates the allocation ratio for "NJ" was revised during the reporting period. Please provide the date of the fundraising program or event. In the case of a telemarketing or direct mail campaign, the "date" is the last day of the telemarketing campaign, or the day on which the final direct mail solicitations are mailed. 11 CFR §106.5(f)(2) In the event that an excessive non-federal transfer was received, the excessive amount should be transferred back to your non-federal account.

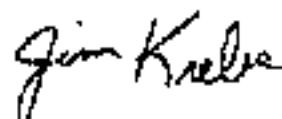
-Your committee has requested that the Commission permit your committee to terminate pursuant to 2 U.S.C. §433(d) and 11 CFR §102.3. Preliminary review of the above referenced report(s) raised questions concerning certain information disclosed by your committee. Your committee must continue to file all required reports with the Commission until this matter has been resolved. The Commission will notify you when your request to terminate has been approved and the committee is no longer required to file reports with the Commission.

A response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety rather than just those portions of the report that are being amended. If you

NEW JERSEY SENATE 2002  
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need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,



Jim Krebs  
Campaign Finance Analyst  
Reports Analysis Division

22020861807

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
disbursements Summary Page

FOR LINE NUMBER  
(check only one)

PAGE 20 / 25

☐ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25 ☒ 26  
☐ 28 ☐ 32 ☐ 35a ☐ 35c ☐ 35d ☐ 39

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than with the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in full)

New Jersey Senate 2002

Full Name (Last, First, Middle initial)

New Jersey Senate 2002 - Non-Federal

Mailing Address

23 N Ward Avenue

City

Plumpton

State

NJ

Zip Code

07760-8515

Purpose of Disbursement

Transfer - George Hall

Candidate Name

Original  
Type

Date of Disbursement

08 29 2002

Amount of Each Disbursement (in Period)

20000.00

Office Sought

House

Senate

President

Disbursement For

Priority

General

Other (specify) ?

State

District

Transaction ID: 5870-475

B.

C.

GRAND TOTAL of Receipts (See Tot Page (top of page))

20000.00

TOTAL This Period (last page line number only)

20000.00

23037993416